# Comparative Study on Performances of Composite Anodes of SiO, Si and Graphite for Lithium Rechargeable Batteries

Chil-Hoon Doh,<sup>†,\*</sup> Angathevar Veluchamy,<sup>†,‡</sup> Duck-Jun Lee,<sup>†</sup> Jung-Hoon Lee,<sup>†</sup> Bong-Soo Jin,<sup>†</sup> Seong-In Moon,<sup>†</sup> Cheol-Wan Park,<sup>§</sup> and Dong-Won Kim<sup>#</sup>

<sup>†</sup>Korea Electrotechnology Research Institute, Chang-won 641-600, Korea. \*E-mail: chdoh@keri.re.kr <sup>‡</sup>Central Electrochemical Research Institute, Karaikudi 630 006, India <sup>§</sup>Sodiff Advanced Materials Co., Ltd., Yeongju 750-080, Korea <sup>#</sup>Dept. Chem. Eng., CICM, Hanyang University, Seoul 133-791, Korea Received August 17, 2009, Accepted March 9, 2010

The electrochemical performances of anode composites comprising elemental silicon (Si), silicon monoxide (SiO), and graphite (C) were investigated. The composite devoid of elemental silicon (SiO:C = 1:1) and its carbon coated composite showed reduced capacity degradation with measured values of 606 and 584 mAh/g at the fiftieth cycle. The capacity retention nature when the composites were cycled followed the order of Si:SiO:C = 3:1:4 < Si:SiO:C = 2:2:4 < SiO:C = 1:1 < SiO:C = 1:1 (carbon coated). A comparison of the capacity retention properties for the composite sin terms of the silicon content showed that a reduced silicon content increased the stability of the composite electrodes. Even though the carbon-coated composite delivered low capacity during cycling compared to the other composites, its low capacity degradation made the anode a better choice for lithium ion batteries.

Key Words: Silicon, Silicon monoxide, Graphite, Anode, Lithium ion battery

## Introduction

Recently, the ability of silicon to form an alloy with lithium was explored to develop a high-capacity anode material for replacing graphite electrodes, which have low capacity (~372 mAh/g), for use in lithium ion batteries.<sup>1</sup> Even though silicon in the intermetallic alloy Li<sub>22</sub>Si<sub>5</sub> appears to possess a high theoretical specific capacity ~4190 mAh/g, its immediate practical application has been hampered because it exhibits large crystallographic volume changes of ~328% during the charge/ discharge cycling process.<sup>2-5</sup> However, methods such as the incorporation of metal powders into the composite<sup>6-9</sup> and using silicon in alloys<sup>10,11</sup> and compounds<sup>12</sup> with graphite have been found to improve the electrode performance. Carbon coating of the composites has also been reported to provide high cycle stability to the anode.<sup>2,13-15</sup>

Among silicon-based composites, SiO shows better cycle performance than silicon composites; this is because during the initial lithiation process, the Li<sub>2</sub>O formed in situ acts as a buffer material, thereby preventing electrode degradation due to large volume changes. Along with Li2O, SiO forms nanometric silicon, which alloys and de-alloys with lithium during the charge/discharge cycles.<sup>16,17</sup> Thus, Li<sub>2</sub>O remains as an inert buffer material in the composite, which minimizes volume change during the cycling process. In contrast, for negative electrode materials made of nano-sized transition-metal oxides, Poizot et al.<sup>18</sup> reported that the formation and decomposition of Li<sub>2</sub>O accompanies the reduction and oxidation of nanometric particles; they demonstrated a capacity value of 700 mAh/g with 100% capacity retention for up to 100 cycles with high rate capability. Miyachi et al., used spectral investigation to identify the formation of silicate in the electrodes; they attributed the better cycle life of SiO-based composites to the minimal

volume change associated with the silicates during the charge/ discharge process.<sup>19</sup> The electrode performance was further improved by the incorporation/generation of inert materials such as  $Al_2O_3$ ,<sup>20</sup> SiO<sub>2</sub>,<sup>16,21</sup> and  $Li_2O^{15}$  during the preparation of the electrode material itself. Doh *et al*. noted the interdependency of the cycle capacity with the irreversible capacity for a dual composite using SiO and graphite.<sup>22</sup> Carbon coating also provided better particle properties and conductivity for lithium ion diffusion to produce a higher cycle performance.<sup>20,23,24</sup>

This paper presents a comparative study on the electrochemical behavior of three composites prepared by ball milling of SiO and/or Si with graphite and one composite with carbon coating. The composites were compared using techniques such as the cycle life test, cyclic voltammetry, scanning electron microscopy, and X-ray diffraction.

## Experimental

Appropriate quantities of SiO, Si (Aldrich, -325 mesh), and graphite (Sodiff New Materials Co., Ltd, Korea, -400 mesh) were ball-milled along with stainless steel balls ( $\Phi$  8 mm) at 350 rpm for 24 h in a 200 mL stainless steel vial. The weight ratio of stainless steel balls to the material was maintained at 10:1, and the vial was filled with argon gas. The four weight ratio compositions considered were (a) Si:SiO:C = 3:1:4, (b) Si:SiO:C = 2:2:4, (c) SiO:C = 1:1, and (d) SiO:C = 1:1 (carbon coated). The procedure for carbon coating in the preparation of the sample (d) has been described in a previous paper.<sup>25</sup> The prepared materials were formed into a viscous mass by mixing each composite with 15 wt % polyvinylidene fluoride (PVDF) dissolved in N-methyl pyrrolidinone and agitated in a vibrator. The viscous mass was then coated onto a copper foil and dried in a hot air oven at 110 °C for 1 h and pressed using a stainless

steel roller to reduce the thickness to  $\sim$ 75%. Finally the film was annealed at 110 °C for 12 h in a vacuum.

The copper foil coated in active material was cut into the form of a circular disc with a diameter of 14 mm and coupled with a lithium foil counter electrode separated by a polypropylene separator, (Celgard membrane 2700) in a coin cell. The electrolyte (Techno Semichem. Ltd., Korea) was 1.12 M LiPF<sub>6</sub> with 2 wt % vinylene carbonate (VC) dissolved in ethylene carbonate (EC) and ethyl methyl carbonate (EMC) mixed in a 1:1 (V/V) ratio. Coin cells were assembled in a dry room maintained at ~21 °C with a dew point temperature of about ~65 °C. The anode was cycled between 0 to 1.5 V versus Li<sup>+</sup>/Li at a constant current of 0.253 mA cm<sup>-2</sup> (0.1 C rate based on 372 mAh/g as the specific capacity) using a charge-discharge analyzer (Toyo System Ltd, Japan).

The composite powders were examined using a Philips 1830 X-ray diffractometer with nickel-filtered Cu K $\alpha$  radiation at a scan rate of 0.04 °/s. over a 2 theta range of  $10 \sim 80^{\circ}$ . The surface morphology of the active material coated on the copper foil was scanned using a Hitachi S-4800 scanning electron microscope. Cyclic voltammetry was performed by cycling the electrode between 1.5 and 0 V at a scan rate of 0.1 mVs<sup>-1</sup>; lithium foil was used as a counter/reference electrode with VSP (Princeton Applied Research Co.).

#### **Results and Discussion**

X-ray diffraction analysis. The XRD analyses of the composite samples along with individual constituents such as graphite, SiO, and Si are presented in Fig. 1. The figure shows that the crystalline peaks of graphite disappeared for the ball-milled composite materials, indicating that ball-milling converted the graphite crystalline particles into finer particles in the resultant composite. Since the resultant composite did not show any new peak, it may be inferred that the ball-milling did not impact the formation of any new compounds but only fragmented the graphite into smaller particles. As the graphite particles are smaller, they should distribute evenly within the composite to provide better conductivity and cushion the effects of the composite particles by absorbing the volume expansion during the cycling process.

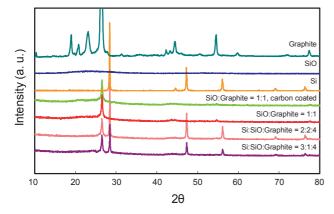


Figure 1. XRD pattern of materials such as graphite, SiO, Si, SiO:C = 1:1 (carbon coated), SiO:C = 1:1, Si:SiO:C = 2:2:4, Si:SiO:C = 3:1:4.

**Scanning electron micrographs.** Fig. 2 presents anning electron micrographs (SEM) of the four composite electrodes. The particle aggregates in Figs. 2(a) and (b) appear to be similar, where the particles are distributed with interspaces. These interspaces act as electrolyte channels for lithium ion diffusion and also serve to absorb volume changes during lithiation and delithiation. The particle size, shown in Fig. 2(c) appears to be smaller compared to the former two. Comparision of the SEM image of sample in Fig. 2(c) with the carbon-coated sample in Fig. 2 (d) reveals that carbon coating resulted in the formation of large-sized particles along with larger interspaces relative to the samples in Figs. 2 (a)-(c).

Profile of specific capacity as a function voltage. The specific capacity-voltage behavior for the first two cycles of all composite electrodes are presented below as Figs. 3(a), (b), (c), and (d). The difference between the first lithiation and delithiation capacity values is denoted as irreversible capacity, which does not correlate to the capacity flatness property or capacity retention with cycling for the composite electrodes. To understand the exact mechanism underlying the stability of the electrode, the Si content present in each composite was calculated by considering the stoichiometric weight ratio SiO:Si = 1:0.63. The Si content in all four composites was calculated for every 4 g of C (graphite or graphite with carbon) and is presented in Table 1. The experimental data from carbon coating showed that the ratio of silicon monoxide content SiO:(graphite + carbon coating) of composite (d) became 4:4.036, resulting in a silicon content Si:(graphite + carbon coating) ratio of 2.5:4. The various capacity values obtained from the voltage-specific capacity curves along with the silicon content are presented for all composites in Table 1.

**Capacity retention with cycling.** Fig. 4 presents the specific capacity as a function of the cycle number over 50 cycles to show the capacity retention property of the four different electrodes. The maximum specific capacities for delithiation were (a) 1400 mAh/g at the fourth cycle for Si:SiO:C = 3:1:4, (b) 1450 mAh/g at the eighth cycle for Si:SiO:C = 2:2:4, (c) 870 mAh/g at the fourth cycle for SiO:C = 1:1, and (d) 700 mAh/g

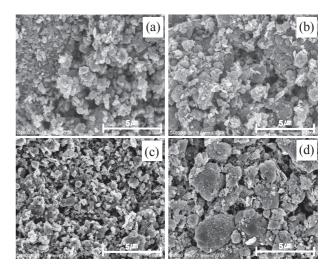


Figure 2. SEM images of the electrodes (a) Si:SiO:C = 3:1:4; (b) Si:SiO:C = 2:2:4; (c) SiO:C = 1:1; (d) SiO:C = 1:1 (carbon coated).

# Anode Performances of SiO, Si and Graphite

Electroactive material in the electrode (ratio)	1 <sup>st</sup> LC	1 <sup>st</sup> DLC	1 <sup>st</sup> IRC	DLC <sub>max</sub> @cycle no.	50th DLC	capacity	Si:C
	(mAh/g)					retention (%)	(gram ratio)
(a) Si:SiO:C = 3:1:4	1839	933	906	1400@4	480	34	3.63:4
(b) Si:SiO:C = $2:2:4$	986	523	463	1450@8	750	52	3.27:4
(c) $SiO:C = 1:1$	1556	693	863	870@4	610	70	2.52:4
(d) SiO:C = 1:1 (carbon coating)	1346	606	740	700@16	580	83	2.50:4

Table 1. The different capacity values, capacity retention and the silicon content present in the composites

 $1^{st}$  LC = first lithiation capacity;  $1^{st}$  DLC = first delithiation capacity; IRC = initial irreversible capacity; DLC<sub>max</sub> = the maximum delithiation capacity;  $50^{th}$  DLC =  $50^{th}$  delithiation capacity; capacity retention = capacity retention at 50th cycle compared to DLC<sub>max</sub>; Si:C denotes ratio of silicon and C (graphite with or without carbon coating).

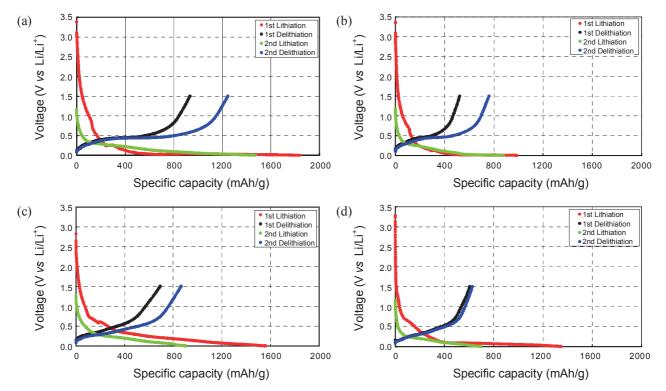
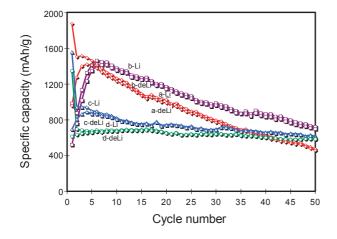
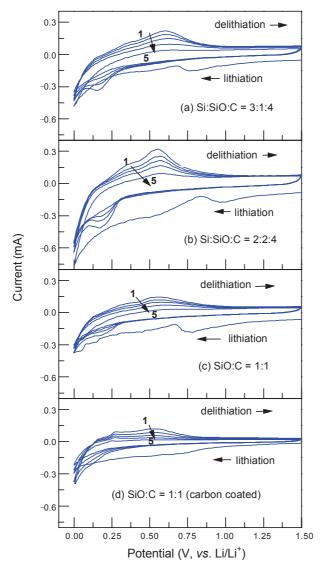


Figure 3. Voltage-Specific capacity profiles of the electrodes (a) Si:SiO:C = 3:1:4; (b) Si:SiO:C = 2:2:4; (c) SiO:C = 1:1; (d) SiO:C = 1:1 (carbon coated).



**Figure 4.** Profile of capacity retention with cycling: a-Li & a-deLi, b-Li & b-deLi, c-Li & c-deLi, d-Li & d-deLi are lithiation and delithiation curves for the electrodes (a) Si:SiO:C = 3:1:4; (b) Si:SiO:C = 2:2:4; (c) SiO:C = 1:1; (d) SiO:C = 1:1 (carbon coated).

at the sixteenth cycle for carbon-coated SiO:C = 1:1. The specific capacities at the fiftieth cycle were (a) 480, (b) 750, (c) 610, and (d) 580 mAh/g. Therefore, the capacity retentions at the fiftieth cycle compared to the maximum specific capacity were 34%, 52%, 70%, and 83% for electrodes (a), (b), (c), and (d), respectively. Fig. 4 and Table 1 show that better capacity retention with cycling is exhibited for electrodes with lower silicon content. Decreasing the silicon content in the anode composite reduces the electrode strain during the lithiation and delithiation processes.<sup>4,5</sup> The order of the capacity retention for the composite electrodes was (d) > (c) > (b) > (a). Even though the amount of carbon coating was small, its contribution to the capacity retention of the electrode was considerable; this may be due to the higher increase of conductivity because of the carbon coating. The specific capacities for delithiation were lower than for lithiation. The differences in the specific capacity for lithiation and delithiation were high when there was a high rate of decrease in capacity retention. Therefore, the difference in



**Figure 5.** Cyclic voltammograms of (a) Si:SiO:C = 3:1:4; (b) Si:SiO:C = 2:2:4; (c) SiO:C = 1:1; (d) SiO:C = 1:1 (carbon coated) with scan rate of  $0.1 \text{ mVs}^{-1}$ .

specific capacities for lithiation and delithiation is correlated to the capacity retention property.

Cyclic voltammogram. The lithiation and delithiation reactions can be represented as

 $C_6 (\text{graphite}) + xLi^+ + xe^- \rightleftharpoons Li_xC_6$  (1)

$$xLi^+ + Si + xe^- \rightleftharpoons Li_xSi$$
 (2)

$$2\text{SiO}(\text{Si} + \text{SiO}_2) + x\text{Li}^+ + xe^- \rightleftharpoons 2\text{Li}_x\text{SiO}(\text{Li}_x\text{Si} + \text{SiO}_2) \quad (3)$$

The forward and reverse reactions associated with  $Li_xC_6$  (x = 0 to 1) and  $Li_xSi$  (x = 0 to 4.4) are pseudo-homogeneous phase reactions based on experimental results for lithiation-delithiation cycling and cyclic voltammograms which; the potential changes continuously as the value of x changes. The lithiation and delithiation may occur together for graphite, silicon, and silicon monoxide. The electrodes with high drain capability have

Chil-Hoon Doh et al.

high peak current values. Such a resistive nature also implies the stability of the electrode.<sup>25</sup> The current behaviors of (c) SiO:C = 1:1 and (d) carbon-coated SiO:C = 1:1 were similar, denoting that the full capacity appears at the given scan rate.

Commercial silicon monoxide is a two-phase material with regions rich in silicon and others rich in oxygen. The silicon-rich phase contains  $\sim 1000 - 2000$  atoms, with the phase-separated regions having diameters of 3 - 4 nm.<sup>26</sup> The silicon-rich phase alloys and de-alloys result in volume changes of up to  $\sim 328\%$ . During initial lithiation, the oxygen-rich region is converted into Li<sub>2</sub>O following reaction (4) and remains as an inert buffer material in the subsequent cycles. Other physically bonded -O-present in the composite also forms Li<sub>2</sub>O and serves as a volume buffer during the cycling process. Lower silicon content should provide less volume change and better capacity retention to the electrodes. Furthermore, the electrolyte undergoing decomposition forms an SEI film during the initial and subsequent cycles, which may be represented as reactions (5) and (6).

$$2\mathrm{Li}^{+} + -\mathrm{O} + 2\mathrm{e}^{-} \to \mathrm{Li}_{2}\mathrm{O} \tag{4}$$

 $\text{Li}^+ + (\text{EC} + \text{EMC}...) + e^- \rightarrow \text{SEI} (\text{Li}_2\text{CO}_3, \text{ROCO}_2\text{Li},...)$  (5)

$$\text{LiPF}_6 + 2\text{xLi}^+ + 2\text{xe}^- \rightarrow \text{LixPF}_{5-\text{x}} + (\text{x}+1)\text{LiF}$$
(6)

Equations (3) and (4) shows the formation of  $Li_2O$ , which is related to the irreversible capacity (Table 1).

## Conclusions

This study reveals that the capacity degradation with cycling is high for silicon-containing composites. Of the four tested composites, the carbon-coated composite (SiO:C = 1:1 (carbon coated)) exhibited the least capacity degradation with cycling. Assuming that the capacity retention with cycling indicates the cycle stability of the anode, the capacity retention followed the order of SiO:C = 1:1 (carbon coated) > SiO:C = 1:1 > Si:SiO:C = 2:2:4 > Si:SiO:C = 3:1:4. The silicon component in these composites followed the order of SiO:C = 1:1 (carbon coated) < SiO:C = 1:1 < Si:SiO:C = 2:2:4 < Si:SiO:C = 3:1:4. This shows that greater electrode stability is associated with lower Si content in the composite electrode. The carbon-coated composite has less capacity degradation with cycling, which makes it a favorable candidate for lithium ion batteries.

Acknowledgments. This work was supported by the Korea Research Council for Industrial Science & Technology (ISTK), the NGE Program (Project No. 1001653) of KERI, and the Ministry of Knowledge Economy (MKE) of Korea. A. Veluchamy thanks the Korean Federation of Science and Technology Societies, Korea for awarding a Brain Pool Fellowship and CECRI/CSIR, India for granting leave.

## References

- Dimov, N.; Fukuda, K.; Umeno, T.; Kugino, S.; Yoshio, M. J. Power Sources 2003, 114, 88.
- 2. Dimov, N.; Kugino, S.; Yoshio, M. Electrochim. Acta 2003, 48,

Anode Performances of SiO, Si and Graphite

1579.

- Doh, C. H.; Shin, H. M.; Kim, D. H.; Jeong, Y. D.; Moon, S. I.; Jin, B. S.; Kim, H. S.; Kim, K. W.; Oh, D. H.; Veluchamy, A. J. Alloys Compd. 2008, 461, 321.
- Lee, J. H.; Kim W. J.; Kim, J. Y.; Lim, S. H.; Lee, S. M. J. Power Sources 2008, 176, 353.
- 5. Kim, Y. L.; Sun, Y. K.; Lee, S. M. Electrochim. Acta 2008, 53, 4500.
- Doh, C. H.; Shin, H. M.; Kim, D. H.; Chung, Y. D.; Moon, S. I.; Jin, B. S.; Kim, H. S.; Kim, K. W.; Oh, D. H.; Veluchamy, A. *Bull. Korean Chem. Soc.* 2008, *29*, 309.
- 7. Zuo, P.; Yin, G. J. Alloys Compd. 2006, 414, 265.
- Wu, X. D.; Wang, Z. X.; Chen, L. Q.; Huang, X. J. Electrochem. Commun. 2003, 5, 935.
- Patel, P.; Kim, I. S.; Kumta, P. N. Material Science and engineering B 2005, 116, 347.
- Dong, H.; Ai, X. P.; Yang, H. X. Electrochem. Commun. 2003, 5, 952.
- Park, M. S.; Rajendran, S.; Kang, Y. M.; Han, K. S.; Han, Y. S.; Lee, J. Y. J. Power Sources 2006, 158, 650.
- Jayaprakash, N.; Kalaiselvi, N.; Doh, C. H. Intermetallics 2007, 15, 442.
- Dimov, N.; Fukuda, K.; Umeno, T.; Kugino, S.; Yoshio, M. J. Power Sources 2003, 114, 88.
- 14. Lee, H. Y.; Kim, Y. L.; Kong, M. K.; Lee, S. M. J. Power Sources

2005, 141, 159.

- Yang, X.; Wen, Z.; Xu, X.; Lin, B.; Huang, S. J. Power Sources 2007, 164, 880.
- Zhang, T.; Gao, J.; Zhang, H. P.; Yang, L. C.; Wu, Y. P.; Wu, H. Q. Electrochem. Commun. 2007, 9, 886.
- Kim, J. H.; Sohn, H. J.; Kim, H.; Jeong, G.; Choi, W. J. Power Sources 2007, 170, 456.
- Poizot, P.; Laruelle, S.; Grugeon, S.; Dupont, L.; Tarascon, J. M. *Nature* 2000, 407, 496.
- Miyachi, M.; Yamamoto, H.; Kawai, H.; Ohta, T.; Shirakata, M. J. Electrochem. Soc. 2005, 152(10), A2089-A2091.
- 20. Lee, H. Y.; Lee, S. M. Electrochem. Commun. 2004, 6, 465.
- Kim, J. H.; Sohn, H. J.; Kim, H.; Jeong, G.; Choi, W. J. Powers Sources 2007, 170, 456.
- Doh, C. H.; Park, C. W.; Shin, H. M.; Kim, D. H.; Chung, Y. D.; Moon, S. I.; Jin, B. S.; Kim, H. S.; Veluchamy, A. *J. Power Sources* 2008, *179*, 367.
- 23. Yuan, X.; Chao, Y. J.; Ma, Z. F.; Deng, X. *Electrochem. Commun.* **2007**, *9*, 2591.
- 24. Chao, Y. J.; Yuan, X.; Ma, Z. F. Electrochem. Acta 2008, 53, 3468.
- Doh, C. H.; Shin, H. M.; Kim, D. H.; Ha, Y. C.; Jin, B. S.; Kim, H. S.; Moon, S. I.; Veluchamy, A. *Electrochem. Commun.* 2008, 10, 233.
- 26. Schulmeister, K.; Madar, W. J. Non-Cryst. Solids 1975, 17, 215.